

Highly Enantioselective γ -Amination by N-Heterocyclic Carbene Catalyzed [4+2] Annulation of Oxidized Enals and Azodicarboxylates**

Xiang-Yu Chen, Fei Xia, Jin-Tang Cheng, and Song Ye*

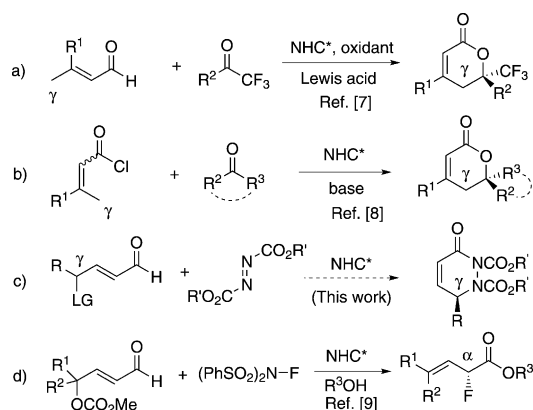
N-heterocyclic carbene (NHC) catalysis has been developed extensively for various chemical transformations over the past decade.^[1,2] In 2004, Bode et al. and Glorius et al. independently reported the pioneering NHC-catalyzed [3+2] annulation reaction of enals by β -addition through an α^3 - d^3 umpolung approach.^[3] Since then, a series of NHC-catalyzed [3+ n] annulation of enals has been realized for the synthesis of a range of hetero- and carbocyclic compounds.^[4,5] The NHC-catalyzed [2+4] annulation of enals by α addition was also established.^[6]

In 2012, Chi et al. reported a pioneering oxidative NHC-catalyzed [4+2] annulation of enals with trifluoromethyl ketones by γ addition (Scheme 1 a).^[7] In 2011, we reported an NHC-catalyzed [4+2] annulation of α,β -unsaturated acyl chlorides with activated ketones (Scheme 1 b).^[8] We envisioned that the addition of an NHC to enals having a γ leaving group may generate a dienolate intermediate, which would

then react with an azodicarboxylate to give the [4+2] annulation product (Scheme 1 c). While our work was in progress, Sun et al. reported an NHC-catalyzed α fluorination of preoxidized enals (Scheme 1 d).^[9] It is interesting that only γ amination and not α amination is observed in our reaction.

The catalytic asymmetric amination of carbonyl compounds provides an efficient way to amino acids.^[10] However, the enantioselective approaches to γ -amino acid derivatives are still very limited.^[11,12] Recently, we reported a cinchona-alkaloid-catalyzed [4+2] annulation of α,β -unsaturated acyl chlorides and azodicarboxylates.^[13] The reaction worked well for the synthesis of various γ -amino acids but not for γ -aryl ones because of the difficulty in the preparation of γ -aryl- α,β -unsaturated acyl chlorides.

Initially, the model reaction of the enal **1a**, having a γ leaving group, and di-*tert*-butyl azodicarboxylate (DTBAD; **2a**) was investigated under NHC catalysis (Table 1). We were pleased to find that the reaction catalyzed



Scheme 1. NHC-catalyzed [4+2] annulation through γ addition (a–c) and related α fluorination (d).

Table 1: Optimization of reaction conditions.

Entry	1a/2a	Base	Solvent, T	Yield [%] ^[a]	ee [%] ^[b]
1	1.5:1	NaOAc	CH ₂ Cl ₂ , RT	33	99
2	1.5:1	NaOAc	CH ₂ Cl ₂ , 30 °C	44	99
3	1.5:1	NaOAc	CH ₂ Cl ₂ , reflux	41	99
4	1.5:1	NaOAc	CH ₃ CN, RT	trace	–
5	1.5:1	NaOAc	toluene, RT	41	96
6	1.5:1	NaOAc	THF, RT	60	99
7	1:1.5	NaOAc	THF, RT	53	99
8	1:1.5	K ₂ CO ₃	THF, RT	81	99
9	1:1.1	K ₂ CO ₃	THF, RT	82	99

[a] Yield of isolated product. [b] Determined by HPLC using a chiral stationary phase. Mes = 2,4,6-trimethylphenyl, THF = tetrahydrofuran.

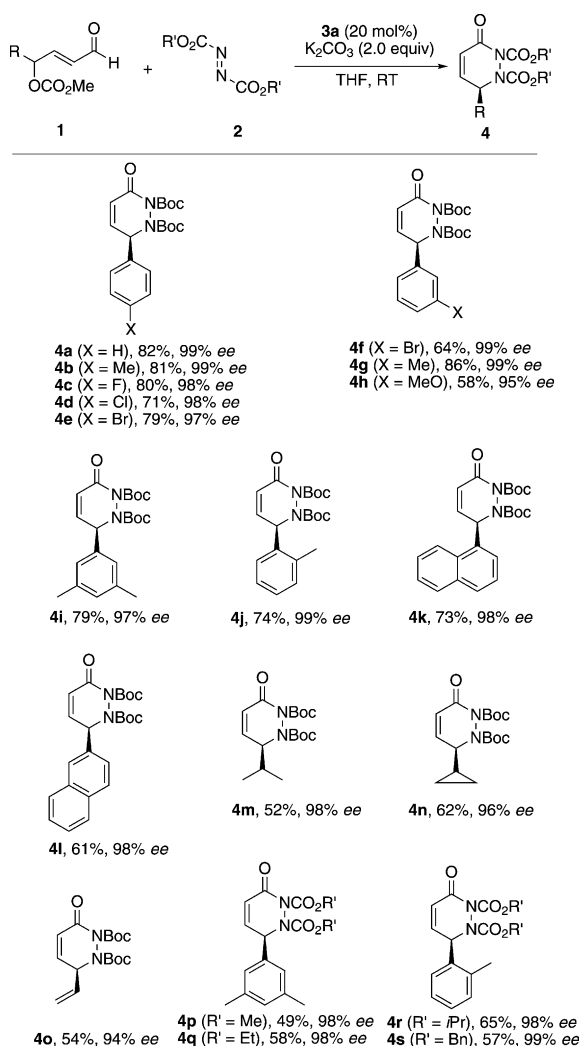
by the NHC **3a'**,^[6b,14] generated in situ from the chiral azolium **3a** in the presence of sodium acetate, furnished the desired [4+2] annulation product **4a** in 33 % yield with 99 % ee (entry 1). After optimization (entries 2–9), the yield was improved to 82 % with 99 % ee when the reaction was carried out with K₂CO₃ as the base in THF (entry 9).

With these optimized reaction conditions in hand, the scope of enals was briefly investigated (Scheme 2). Both

[*] X.-Y. Chen, F. Xia, J.-T. Cheng, Prof. Dr. S. Ye
Beijing National Laboratory for Molecular Sciences
CAS Key Laboratory of Molecular Recognition and Function
Institute of Chemistry, Chinese Academy of Sciences
Beijing 100190 (China)
E-mail: songye@iccas.ac.cn

[**] Financial support from the Ministry of Science and Technology of China (2011CB808600), National Science Foundation of China (20932008, 21072195), and the Chinese Academy of Sciences are greatly acknowledged.

Supporting information for this article is available on the WWW under <http://dx.doi.org/10.1002/anie.201305571>.



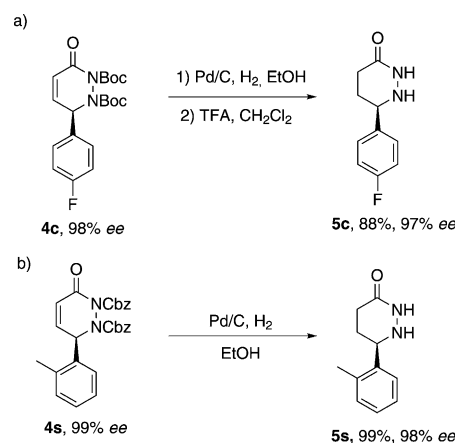
Scheme 2. Reaction scope. Boc = *tert*-butoxycarbonyl.

electron-donating (4-MeC₆H₄) and electron-withdrawing (4-FC₆H₄, 4-ClC₆H₄, 4-BrC₆H₄) groups on aromatic enals were tolerated and give the desired annulation products **4b–e** in good yields with excellent enantioselectivities. Aromatic enals with a *meta* substituent (3-BrC₆H₄, 3-MeC₆H₄, 3-MeOC₆H₄) also worked well (**4f–h**). The *ortho*-substituted (2-MeC₆H₄) or *meta,meta*-disubstituted (3,5-Me₂C₆H₃) aryl substrates showed no negative effect on the reaction (**4i** and **4j**). The reactions of the enals with γ -1-naphthyl or 2-naphthyl substrates gave the corresponding dihydropyridazinones (**4k** and **4l**) in good yields with excellent enantioselectivities. It is noteworthy that aliphatic enals worked as well to give the desired annulation products (**4m** and **4n**) in moderate to good yields with high enantioselectivities. In addition, an enal with a γ -vinyl group gave the annulation product **4o** in 54% yield with 94% ee. The reaction with various alkyl azodicarboxylates (**2b–d**; R' = Me, Et, *i*Pr, Bn) were also successful, and the desired annulation products **4p–s** were obtained in 49–65% yields with excellent enantioselectivities.

The *R* configuration of **4d** having a γ -aryl group was determined by the X-ray analysis of its crystal.^[15] The *S* configuration of the compounds **4m–o** with a γ -alkyl or

alkenyl group was assigned by the comparison of its optical rotation with those reported in the literature.^[13a]

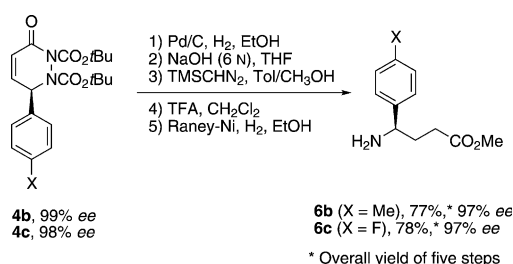
The resulting dihydropyridazinones are structurally interesting and afford many possible chemical transformations.^[16] Tetrahydropyridazinone is an important pharmacological motif in many bioactive compounds, such as a 5-lipoxygenase inhibitor.^[17] The tetrahydropyridazinone **5c** could be easily obtained in high yield from **4c** by hydrogenation with Pd/C and subsequent removal of the N-Boc group (Scheme 3a). The hydrogenation and deprotection could be carried out at the same time for the N-Cbz annulation product **4s**, thus giving the tetrahydropyridazinone **5s** in quantitative yield with high enantiopurity (Scheme 3b).



Scheme 3. Synthesis of tetrahydropyridazinones. Cbz = benzyloxycarbonyl. TFA = trifluoroacetic acid.

The synthesis of alkyl γ -amino acids from the γ -alkyl cycloadduct **4** has been demonstrated in our previous publication.^[13a] As expected, this process also worked well for the γ -aryl cycloadducts **4b** and **4c**, thus giving the desired γ -amino esters **6b** and **6c**, respectively, in high overall yields without erosion of enantiopurities (Scheme 4).

A plausible catalytic cycle is depicted in Figure 1. The addition of in situ generated NHC to enals gives the vinyl Breslow intermediate **A**, which decomposes to the azolium dienol **B** by removal of the γ leaving group. The γ addition of the dienol to the azodicarboxylate affords the acyl azolium adduct **C**^[18] with subsequent cyclization to afford the final annulation product **4** and regenerate the NHC catalyst.



Scheme 4. Synthesis of γ -amino esters. TMS = trimethylsilyl.

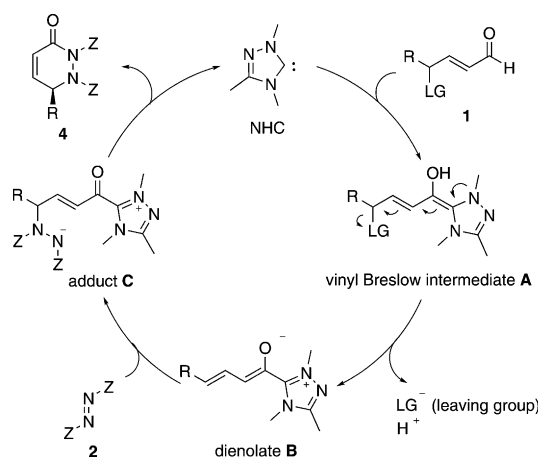


Figure 1. Plausible catalytic cycle.

In summary, the NHC-catalyzed [4+2] annulation of enals, having a γ leaving group, and azodicarboxylates was developed, thus giving the corresponding dihydropyridazinones in good yields with excellent enantioselectivities. The reaction worked well for γ -aryl, alkyl, and alkenyl enal derivatives. Highly enantiopure tetrahydropyridazinones and γ -amino acid derivatives could be easily prepared by subsequent transformations of the resulting dihydropyridazinones. The readily available substrates,^[19] broad reaction scope, excellent enantioselectivity, and mild reaction conditions^[20] make this γ -amination process potentially useful for the synthesis of γ -amino acids and their derivatives. Other related NHC-catalyzed reactions of γ functionalized enals are underway in our laboratory.

Received: June 28, 2013

Published online: August 13, 2013

Keywords: annulation · heterocycles · N-heterocyclic carbene · synthetic method · γ -amino acids

- [1] For reviews, see: a) D. Enders, T. Balensiefer, *Acc. Chem. Res.* **2004**, *37*, 534; b) K. Zeitler, *Angew. Chem.* **2005**, *117*, 7674; *Angew. Chem. Int. Ed.* **2005**, *44*, 7506; c) D. Enders, O. Niemeier, A. Henseler, *Chem. Rev.* **2007**, *107*, 5606; d) N. Marion, S. Díez-González, S. P. Nolan, *Angew. Chem.* **2007**, *119*, 3046; *Angew. Chem. Int. Ed.* **2007**, *46*, 2988; e) A. T. Biju, N. Kuhl, F. Glorius, *Acc. Chem. Res.* **2011**, *44*, 1182; f) V. Nair, R. S. Menon, A. T. Biju, C. R. Sinu, R. R. Paul, A. Jose, V. Sreekumar, *Chem. Soc. Rev.* **2011**, *40*, 5336; g) D. T. Cohen, K. A. Scheidt, *Chem. Sci.* **2012**, *3*, 53; h) J. Douglas, G. Churchill, A. D. Smith, *Synthesis* **2012**, *44*, 2295; i) H. U. Vora, P. Wheeler, T. Rovis, *Adv. Synth. Catal.* **2012**, *354*, 1617; j) A. Grossmann, D. Enders, *Angew. Chem.* **2012**, *124*, 320; *Angew. Chem. Int. Ed.* **2012**, *51*, 314.
- [2] For selected papers, see: a) D. Enders, O. Niemeier, T. Balensiefer, *Angew. Chem.* **2006**, *118*, 1491; *Angew. Chem. Int. Ed.* **2006**, *45*, 1463; b) C. Fischer, S. W. Smith, D. A. Powell, G. C. Fu, *J. Am. Chem. Soc.* **2006**, *128*, 1472; c) N. Duguet, C. D. Campbell, A. M. Z. Slawin, A. D. Smith, *Org. Biomol. Chem.* **2008**, *6*, 1108; d) Y.-R. Zhang, L. He, X. Wu, P.-L. Shao, S. Ye, *Org. Lett.* **2008**, *10*, 277; e) K. Hirano, A. T. Biju, I. Piel, F. Glorius, *J. Am. Chem. Soc.* **2009**, *131*, 14190; f) X.-L. Huang, L. He, P.-L. Shao, S. Ye, *Angew. Chem.* **2009**, *121*, 198; *Angew. Chem. Int. Ed.* **2009**, *48*, 192; g) A. T. Biju, F. Glorius, *Angew. Chem.* **2010**, *122*, 9955; *Angew. Chem. Int. Ed.* **2010**, *49*, 9761; h) C. A. Rose, S. Gundala, C. L. Fagan, J. F. Franz, S. J. Connon, K. Zeitler, *Chem. Sci.* **2012**, *3*, 735; i) Y.-M. Zhao, Y. Tam, Y.-J. Wang, Z. Li, J. Sun, *Org. Lett.* **2012**, *14*, 1398; j) X.-Y. Chen, L.-H. Sun, S. Ye, *Chem. Eur. J.* **2013**, *19*, 4441.
- [3] a) S. S. Sohn, E. L. Rosen, J. W. Bode, *J. Am. Chem. Soc.* **2004**, *126*, 14370; b) C. Burstein, F. Glorius, *Angew. Chem.* **2004**, *116*, 6331; *Angew. Chem. Int. Ed.* **2004**, *43*, 6205.
- [4] For other [3+2] annulations, see: a) M. He, J. W. Bode, *Org. Lett.* **2005**, *7*, 3131; b) V. Nair, S. Vellalath, M. Poonoth, R. Mohan, E. Suresh, *Org. Lett.* **2006**, *8*, 507; c) V. Nair, S. Vellalath, M. Poonoth, E. Suresh, *J. Am. Chem. Soc.* **2006**, *128*, 8736; d) K. Hirano, I. Piel, F. Glorius, *Adv. Synth. Catal.* **2008**, *350*, 984; e) A. Chan, K. A. Scheidt, *J. Am. Chem. Soc.* **2008**, *130*, 2740; f) S. P. Lathrop, T. Rovis, *J. Am. Chem. Soc.* **2009**, *131*, 13628; g) D. E. A. Raup, B. Cardinal-David, D. Holte, K. A. Scheidt, *Nat. Chem.* **2010**, *2*, 766; h) D. T. Cohen, B. Cardinal-David, J. M. Roberts, A. A. Sarjeant, K. A. Scheidt, *Org. Lett.* **2011**, *13*, 1068; i) D. T. Cohen, B. Cardinal-David, K. A. Scheidt, *Angew. Chem.* **2011**, *123*, 1716; *Angew. Chem. Int. Ed.* **2011**, *50*, 1678; j) X. Zhao, D. A. DiRocco, T. Rovis, *J. Am. Chem. Soc.* **2011**, *133*, 12466; k) J. Dugal-Tessier, E. A. O'Bryan, T. B. H. Schroeder, D. T. Cohen, K. A. Scheidt, *Angew. Chem.* **2012**, *124*, 5047; *Angew. Chem. Int. Ed.* **2012**, *51*, 4963.
- [5] For [3+3] annulations by a^3-d^3 umpolung methods and other methods, see: a) A. Chan, K. A. Scheidt, *J. Am. Chem. Soc.* **2007**, *129*, 5334; b) S. De Sarkar, A. Studer, *Angew. Chem.* **2010**, *122*, 9452; *Angew. Chem. Int. Ed.* **2010**, *49*, 9266; c) J. Kaeobamrung, J. Mahatthanachai, P. Zheng, J. W. Bode, *J. Am. Chem. Soc.* **2010**, *132*, 8810; d) B. Wanner, J. Mahatthanachai, J. W. Bode, *Org. Lett.* **2011**, *13*, 5378; e) F.-G. Sun, L.-H. Sun, S. Ye, *Adv. Synth. Catal.* **2011**, *353*, 3134; f) C. Yao, D. Wang, J. Lu, T. Li, W. Jiao, C. Yu, *Chem. Eur. J.* **2012**, *18*, 1914; g) A. G. Kravina, J. Mahatthanachai, J. W. Bode, *Angew. Chem.* **2012**, *124*, 9568; *Angew. Chem. Int. Ed.* **2012**, *51*, 9433.
- [6] a) M. He, G. J. Uc, J. W. Bode, *J. Am. Chem. Soc.* **2006**, *128*, 15088; b) M. He, J. R. Struble, J. W. Bode, *J. Am. Chem. Soc.* **2006**, *128*, 8418; c) J. Kaeobamrung, M. C. Kozlowski, J. W. Bode, *Proc. Natl. Acad. Sci. USA* **2010**, *107*, 20661; d) H. Lv, J. Mo, X. Fang, Y. R. Chi, *Org. Lett.* **2011**, *13*, 5366; e) L. Yang, F. Wang, P. J. Chua, Y. Lv, L.-J. Zhong, G. Zhong, *Org. Lett.* **2012**, *14*, 2894; f) X. Zhao, K. E. Ruhl, T. Rovis, *Angew. Chem.* **2012**, *124*, 12496; *Angew. Chem. Int. Ed.* **2012**, *51*, 12330; g) J. Mo, R. Yang, X. Chen, B. Tiwari, Y. R. Chi, *Org. Lett.* **2013**, *15*, 50.
- [7] J. Mo, X. Chen, Y. R. Chi, *J. Am. Chem. Soc.* **2012**, *134*, 8810.
- [8] a) L.-T. Shen, P.-L. Shao, S. Ye, *Adv. Synth. Catal.* **2011**, *353*, 1943; very recently, the non-enantioselective NHC-catalyzed reaction of bromoenals with isatin was also reported: b) C. Yao, Z. Xiao, R. Liu, T. Li, W. Jiao, C. Yu, *Chem. Eur. J.* **2013**, *19*, 456.
- [9] Y.-M. Zhao, M. S. Cheung, Z. Lin, J. Sun, *Angew. Chem.* **2012**, *124*, 10505; *Angew. Chem. Int. Ed.* **2012**, *51*, 10359.
- [10] a) A. Ricci, *Modern Amination Methods*, Wiley-VCH, Weinheim, **2000**. α Amination reactions of carbonyl compounds have been developed. See: b) A. Bøgevig, K. Juhl, N. Kumaragurubaran, W. Zhuang, K. A. Jørgensen, *Angew. Chem.* **2002**, *114*, 1868; *Angew. Chem. Int. Ed.* **2002**, *41*, 1790; c) M. Marigo, K. Juhl, K. A. Jørgensen, *Angew. Chem.* **2003**, *115*, 1405; *Angew. Chem. Int. Ed.* **2003**, *42*, 1367; d) T. Mashiko, N. Kumagai, M. Shibasaki, *J. Am. Chem. Soc.* **2009**, *131*, 14990; e) F. Zhou, M. Ding, Y.-L. Liu, C.-H. Wang, C.-B. Ji, Y.-Y. Zhang, J. Zhou, *Adv. Synth. Catal.* **2011**, *353*, 2945.
- [11] For reviews, see: a) A. Trabocchi, F. Guarna, A. Guarna, *Curr. Org. Chem.* **2005**, *9*, 1127; b) M. Ordóñez, C. Cativiela, *Tetrahedron: Asymmetry* **2007**, *18*, 3.
- [12] For the asymmetric γ amination of carbonyl compounds, see: a) S. Bertelsen, M. Marigo, S. Brandes, P. Dinér, K. A. Jørgen-

- sen, *J. Am. Chem. Soc.* **2006**, *128*, 12973; b) G. Bencivenni, P. Galzerano, A. Mazzanti, G. Bartoli, P. Melchiorre, *Proc. Natl. Acad. Sci. USA* **2010**, *107*, 20642; for examples for the synthesis of chiral γ -amino acid by other stereoselective processes, see: c) E. M. Phillips, T. E. Reynolds, K. A. Scheidt, *J. Am. Chem. Soc.* **2008**, *130*, 2416; d) Y. Chi, L. Guo, N. A. Kopf, S. H. Gellman, *J. Am. Chem. Soc.* **2008**, *130*, 5608; e) M. Wiesner, J. D. Revell, S. Tonazzi, H. Wennemers, *J. Am. Chem. Soc.* **2008**, *130*, 5610; f) L. Guo, Y. Chi, A. M. Almeida, I. A. Guzei, B. K. Parker, S. H. Gellman, *J. Am. Chem. Soc.* **2009**, *131*, 16018; g) W. J. Nodes, D. R. Nutt, A. M. Chippindale, A. J. A. Cobb, *J. Am. Chem. Soc.* **2009**, *131*, 16016; h) A. Baschieri, L. Bernardi, A. Ricci, S. Suresh, M. F. A. Adamo, *Angew. Chem.* **2009**, *121*, 9506; *Angew. Chem. Int. Ed.* **2009**, *48*, 9342.
- [13] a) L.-T. Shen, L.-H. Sun, S. Ye, *J. Am. Chem. Soc.* **2011**, *133*, 15894; for other Lewis base catalyzed [4+2] annulations of α,β -unsaturated acyl chlorides, see: b) P. S. Tiseni, R. Peters, *Angew. Chem.* **2007**, *119*, 5419; *Angew. Chem. Int. Ed.* **2007**, *46*, 5325; c) P. S. Tiseni, R. Peters, *Chem. Eur. J.* **2010**, *16*, 2503; d) L.-T. Shen, W.-Q. Jia, S. Ye, *Angew. Chem.* **2013**, *125*, 613; *Angew. Chem. Int. Ed.* **2013**, *52*, 585.
- [14] M. S. Kerr, J. Read de Alaniz, T. Rovis, *J. Am. Chem. Soc.* **2002**, *124*, 10298.
- [15] CCDC 944925 (**4d**) contains the supplementary crystallographic data for this paper. These data can be obtained free of charge from The Cambridge Crystallographic Data Centre via www.ccdc.cam.ac.uk/data_request/cif.
- [16] S. A. Hovakimyan, A. V. Babakhanyan, V. S. Voskanyan, V. E. Karapetian, G. A. Panosyan, S. T. Kocharian, *Chem. Heterocycl. Compd.* **2004**, *40*, 1047.
- [17] a) D. W. Brooks, A. Basha, F. A. J. Kerdesky, J. H. Holms, J. D. Ratajczyk, P. Bhatia, J. L. Moore, J. G. Martin, S. P. Schmidt, D. H. Albert, R. D. Dyer, P. Young, G. W. Carter, *Bioorg. Med. Chem. Lett.* **1992**, *2*, 1357; b) K. H. Kim, Y. C. Martin, D. W. Brooks, R. D. Dyer, G. W. Carter, *J. Pharm. Sci.* **1994**, *83*, 433; c) P. Babczinski, M. Blunck, G. Sandmann, K. Shiokawa, K. Yasui, *Pestic. Biochem. Physiol.* **1995**, *52*, 45; d) P. A. Bhatia, C. D. W. Brooks, A. Basha, J. D. Ratajczyk, B. P. Gunn, J. B. Bouska, C. Lanni, P. R. Young, R. L. Bell, G. W. Carter, *J. Med. Chem.* **1996**, *39*, 3938.
- [18] The adduct **C** could also be possibly generated through the Diels–Alder reaction of the dienolate **B** and azodicarboxylate.
- [19] The preoxidized enals **1** could be easily prepared with the addition of a Grignard reagent to fumaraldehyde as a key step: a) G. M. Coppola, *Synthesis* **1984**, 1021; b) D. Frederico, P. M. Donate, *J. Org. Chem.* **2003**, *68*, 9126.
- [20] The reactions were carried out without N_2/Ar protection at room temperature.